

12-28-01

A

Commissioner for Patents  
Washington, DC 20231  
ATTN: BOX PATENT APPLICATION

UTILITY  
PATENT APPLICATION  
TRANSMITTAL  
Docket No.: 00-450  
Date: December 20, 2001

Sheet 1 of 2

U.S. Express Mail Label No.: EV018435780US

## Inventor Name(s):

GREGORY J. FALADA; JOE E. FORCASH; RODNEY L. SCHOONOVER; AND DAVID B. VERNON

## Title:

APPARATUS AND METHOD FOR DISPLAYING INFORMATION RELATED TO A MACHINE

- ☒ Fee Transmittal Form Attached in Duplicate
- ☒ Specification and Claim(s) [Total Pages  ]
- ☒ Drawing(s) [Total Sheets  ]
- 

Declaration [Total Pages  ]

- ☐ Newly Executed (Original or Copy)
- ☐ Copy From Prior Application (37 CFR § 1.63(d))
- ☐ Deletion of Inventor(s) (37 CFR § 1.63(d)(2))  
(Signed Statement Attached)
- ☐ Assignment Papers (Cover Sheet and Document(s))
- ☐ 37 CFR § 3.73(b) Statement (if applicable) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (Specifically Itemized)
- ☐ Certified Copy of Priority Document(s)
- ☐ Other

--Continue Next Page--

12/20/01



10027025-122001

If a Continuing Application

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)

of prior Application No.: ;

Examiner: ; and

Group/Art Unit:

☐ Cancel Claims:

For Continuations or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied, as set forth above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

Correspondence Address:

☒ Customer Number or Bar Code Label:

719

or

☐ Correspondence address below:

Name:

Address:

City:

State:

Zip Code:

Country:

\*\*\*\*\*

Attorney/Agent: W. Bryan McPherson III

Registration No.: 41,988

Signature: W. Bryan McPherson III

Caterpillar Inc.

Date: Dec 20, 2001

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) **1,664**

## Complete if Known

|                      |                          |
|----------------------|--------------------------|
| Application Number   | (Unassigned)             |
| Filing Date          | (Herewith)               |
| First Named Inventor | Gregory J. Falada ET AL. |
| Examiner Name        | (Unassigned)             |
| Group Art Unit       | (Unassigned)             |
| Attorney Docket No.  | 00-450                   |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-1129**  
Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid |
|--------------|--------------|---------------|---------------|------------------------|----------|
| 101          | 740          | 201           | 370           | Utility filing fee     | 740      |
| 106          | 330          | 206           | 165           | Design filing fee      |          |
| 107          | 510          | 207           | 255           | Plant filing fee       |          |
| 108          | 740          | 208           | 370           | Reissue filing fee     |          |
| 114          | 160          | 214           | 80            | Provisional filing fee |          |

**SUBTOTAL (1) (\$)** **740**

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims   | Fee from below | Fee Paid |
|--------------------|----------------|----------------|----------|
| Independent        | 48 - 20** = 28 | 18             | 504      |
| Multiple Dependent | 8 - 3** = 5    | 84             | 420      |

### Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Description                                            |
|---------------|---------------|---------------|---------------|------------------------------------------------------------|
| 103           | 16            | 203           | 9             | Claims in excess of 20                                     |
| 102           | 84            | 202           | 42            | Independent claims in excess of 3                          |
| 104           | 260           | 204           | 140           | Multiple dependent claim, if not paid                      |
| 109           | 84            | 209           | 42            | ** Reissue independent claims over original patent         |
| 110           | 18            | 210           | 9             | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$)** **924**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

| Large Entity              | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description                                                            | Fee Paid |
|---------------------------|--------------|---------------|---------------|----------------------------------------------------------------------------|----------|
| 105                       | 130          | 205           | 65            | Surcharge - late filing fee or oath                                        |          |
| 127                       | 50           | 227           | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                       | 130          | 139           | 130           | Non-English specification                                                  |          |
| 147                       | 2,520        | 147           | 2,520         | For filing a request for ex parte reexamination                            |          |
| 112                       | 920*         | 112           | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 113                       | 1,840*       | 113           | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 115                       | 110          | 215           | 55            | Extension for reply within first month                                     |          |
| 116                       | 400          | 216           | 200           | Extension for reply within second month                                    |          |
| 117                       | 920          | 217           | 460           | Extension for reply within third month                                     |          |
| 118                       | 1,440        | 218           | 720           | Extension for reply within fourth month                                    |          |
| 128                       | 1,960        | 228           | 980           | Extension for reply within fifth month                                     |          |
| 119                       | 320          | 219           | 160           | Notice of Appeal                                                           |          |
| 120                       | 320          | 220           | 160           | Filing a brief in support of an appeal                                     |          |
| 121                       | 280          | 221           | 140           | Request for oral hearing                                                   |          |
| 138                       | 1,510        | 138           | 1,510         | Petition to institute a public use proceeding                              |          |
| 140                       | 110          | 240           | 55            | Petition to revive - unavoidable                                           |          |
| 141                       | 1,260        | 241           | 630           | Petition to revive - unintentional                                         |          |
| 142                       | 1,260        | 242           | 630           | Utility issue fee (or reissue)                                             |          |
| 143                       | 460          | 243           | 230           | Design issue fee                                                           |          |
| 144                       | 620          | 244           | 310           | Plant issue fee                                                            |          |
| 122                       | 130          | 122           | 130           | Petitions to the Commissioner                                              |          |
| 123                       | 50           | 123           | 50            | Processing fee under 37 CFR 1.17(g)                                        |          |
| 126                       | 160          | 126           | 160           | Submission of Information Disclosure Stmt                                  |          |
| 581                       | 40           | 581           | 40            | Recording each patent assignment per property (times number of properties) |          |
| 146                       | 740          | 246           | 370           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                       | 740          | 249           | 370           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                       | 740          | 279           | 370           | Request for Continued Examination (RCE)                                    |          |
| 199                       | 900          | 199           | 900           | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____ |              |               |               |                                                                            |          |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** **0**

## SUBMITTED BY

|                                                 |                                                 |                                 |
|-------------------------------------------------|-------------------------------------------------|---------------------------------|
| Name (Print/Type) <b>W. Bryan McPherson III</b> | Registration No. (Attorney/Agent) <b>41,988</b> | Telephone <b>(309) 675-4015</b> |
| Signature <b>W. Bryan McPherson III</b>         | Date <b>12/20/2001</b>                          |                                 |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Commissioner Office, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.